

08/21/01



JC962 U.S. PTO

08-23-01 A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on August 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL898676673US, addressed to the Commissioner for Patents, Washington, DC 20231.

*S. Noriega*

Sunshine Noriega

Attorney Docket No.: CISCP256/4087

First Named Inventor: Cote, et al.

j1046 U.S. PTO  
09/934975



**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**Site:** This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Guy Cote, Lowell Winger and Michael Gallant**

**For:** RATE CONTROL METHOD FOR VIDEO TRANSCODING

**Assigned to:** N/A

Application Elements:

- 31** Pages of Specification, Claims and Abstract
- 8** Sheets of informal Drawings
- 3** Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Do not publish this application. Nonpublication Request is attached.
- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
  - Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard
- Limited Recognition Under 37 C.F.R. §10.9(b) for William J. Plut

Fee Calculation (37 CFR § 1.16)

Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	25	MINUS	20	= 5	x 18 =	90
INDEP.	5	MINUS	3	= 2	x 80 =	160
[ ] First presentation of multiple dependent claim				\$270 =		
Basic Filing Fee under 37 C.F.R. §1.16(a)				\$710 =		710.00
				TOTAL		960.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

Check No. 4604 in the amount of \$1000 is enclosed.

The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. CISCP256).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

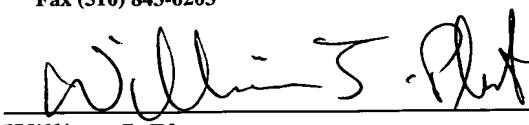
Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. CISCP256).

Please send correspondence to the following address:

Customer Number 022434  
BEYER WEAVER & THOMAS, LLP  
P.O. Box 778  
Berkeley, CA 94704-0778  
Telephone (510) 843-6200  
Fax (510) 843-6203



Date: August 21, 2001

  
William J. Plut

Limited Recognition Under 37 C.F.R. §10.9(b)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Cote, et al.

Attorney Docket No.: CISCP256/4087

Application No.: To Be Assigned

Examiner: To Be Assigned

Filed: August 21, 2001

Group: To Be Assigned

**Title: RATE CONTROL METHOD FOR  
VIDEO TRANSCODING****CERTIFICATE OF EXPRESS MAILING**

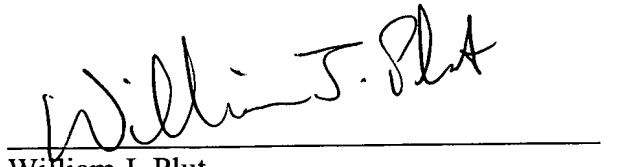
I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on August 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898676673US**, addressed to the Commissioner for Patents, Washington, DC 20231.

  
Sunshine Noriega**NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: 8/21/01  
\_\_\_\_\_  
William J. Plut  
Limited Recognition Under 37 C.F.R. §10.9(b)**NOTE:**

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of

applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).